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**Substitute for form 1449/PTO**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet

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of

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**Complete if Known**

Application Number	10/501,689
Filing Date	July 16, 2004
First Named Inventor	Chengxhi Zhang
Art Unit	1600
Examiner Name	Christine S. Washington
Attorney Docket Number	5108 N1P1

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
R1	JP 00072880		04-24-1985	Not translated.		
		Abstract checked.				

**Examiner  
Signature**

T. A. Sola

Date Considered

10-06-06

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Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office					Serial No.	Art Unit	Filing Date <b>JUL 16 2014</b>	Atty. Docket No. <b>10A501689</b>				
INFORMATION DISCLOSURE CITATION							Applicant(s) Chengzhi ZHANG, et al.							
<b>U.S. PATENT DOCUMENTS</b>														
*		DOCUMENT NO.							DATE (MM/DD/YY)	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
<i>YD</i>	<b>U1</b>	5	4	6	6	8	1	0	11/14/95	Godfrey	546	202	06/10/94	
<b>FOREIGN PATENT DOCUMENTS</b>														
*		DOCUMENT NO.							DATE (DD/MM/YY)	COUNTRY	PRIMARY CLASS	SUB- CLASS	TRANSLATION	
<i>YD</i>	<b>F1</b>	0	8	0	1	0	6	6	15/10/97	EP	<i>[initials]</i>	<i>[initials]</i>	YES	NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>														
<i>YD</i>	<b>R1</b>	Patent Abstracts of Japan, Vol. 009, No. 205 (C-299), 22 August 1985 and JP 60 072880 A (Kaken Seiyaku KK), 24 April 2985												
EXAMINER		<i>T. A. Sola</i>									DATE CONSIDERED			
											<i>10-6-06</i>			
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Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office							Serial No. 10/501,689	Art Unit	Filing Date 07/16/2004	Atty. Docket No. 5108N1P1		
<b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION</b>									Applicant(s) Zhang, et al.					
<b>U.S. PATENT DOCUMENTS</b>														
*		DOCUMENT NO.								DATE (MM/DD/YY)	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
/0	U2	5	9	2	2	7	4	0	07/13/99					
	U3	5	6	9	1	3	5	9	11/25/97					
	U4	5	6	2	2	9	8	9	07/22/97					
	U5	5	5	6	5	4	8	8	10/15/96					
	U6	5	5	0	4	2	1	3	07/02/96					
<b>FOREIGN PATENT DOCUMENTS</b>														
*		DOCUMENT NO.								DATE (DD/MM/YY)	COUNTRY	PRIMARY CLASS	SUB- CLASS	TRANSLATION
/6	F2	0	5	5	1	6	6	2	21/07/93	EP				
	F3	0	7	3	1	0	9	9	11/09/96	EP				
	F4	9	8	0	2	4	4	0	22/01/98	WO				
	F5	0	0	6	9	8	4	4	23/11/00	WO				
	F6	0	0	6	9	8	4	3	23/11/00	WO				
	F7	0	0	6	9	8	4	2	23/11/00	WO				
	F8	0	0	6	9	8	4	1	23/11/00	WO				
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>														
/0	R2	Hayakawa, et al., "4-Hydroxy-3-Methyl-6-Phenylbenzofuran-2-Carboxylic Acid Ethyl Ester Derivatives as Potent Anti-Tumor Agents," <i>Bioorg. Med. Chem. Lett.</i> , <u>14</u> , 455-458 (2004).												
	R3	Hayakawa, et al., "A Library Synthesis of 4-Hydroxy-3-Methyl-6-Phenylbenzofuran-2-Carboxylic Acid Ethyl Ester Derivatives as Anti-Tumor Agents," <i>Bioorg. Med. Chem. Lett.</i> , <u>14</u> , 4383-4387 (2004).												
	R4	Hayakawa, et al., "Thienopyridine and Benzofuran Derivatives as Potent Anti-Tumor Agents Possessing Different Structure-Activity Relationships," <i>Bioorg. Med. Chem. Lett.</i> , <u>14</u> , 3411-3414 (2004).												
EXAMINER		T. A. Solola							DATE CONSIDERED					
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